

FTA

FEDERAL TRANSIT ADMINISTRATION

Region IV State Programs Team Annual Meeting

Atlanta, GA

April 25 – 27, 2016

Planning and Program
Development Activities and
Updates

Jennifer Hibbert, Director, OPPD
Valencia Williams, Community Planner



FTA

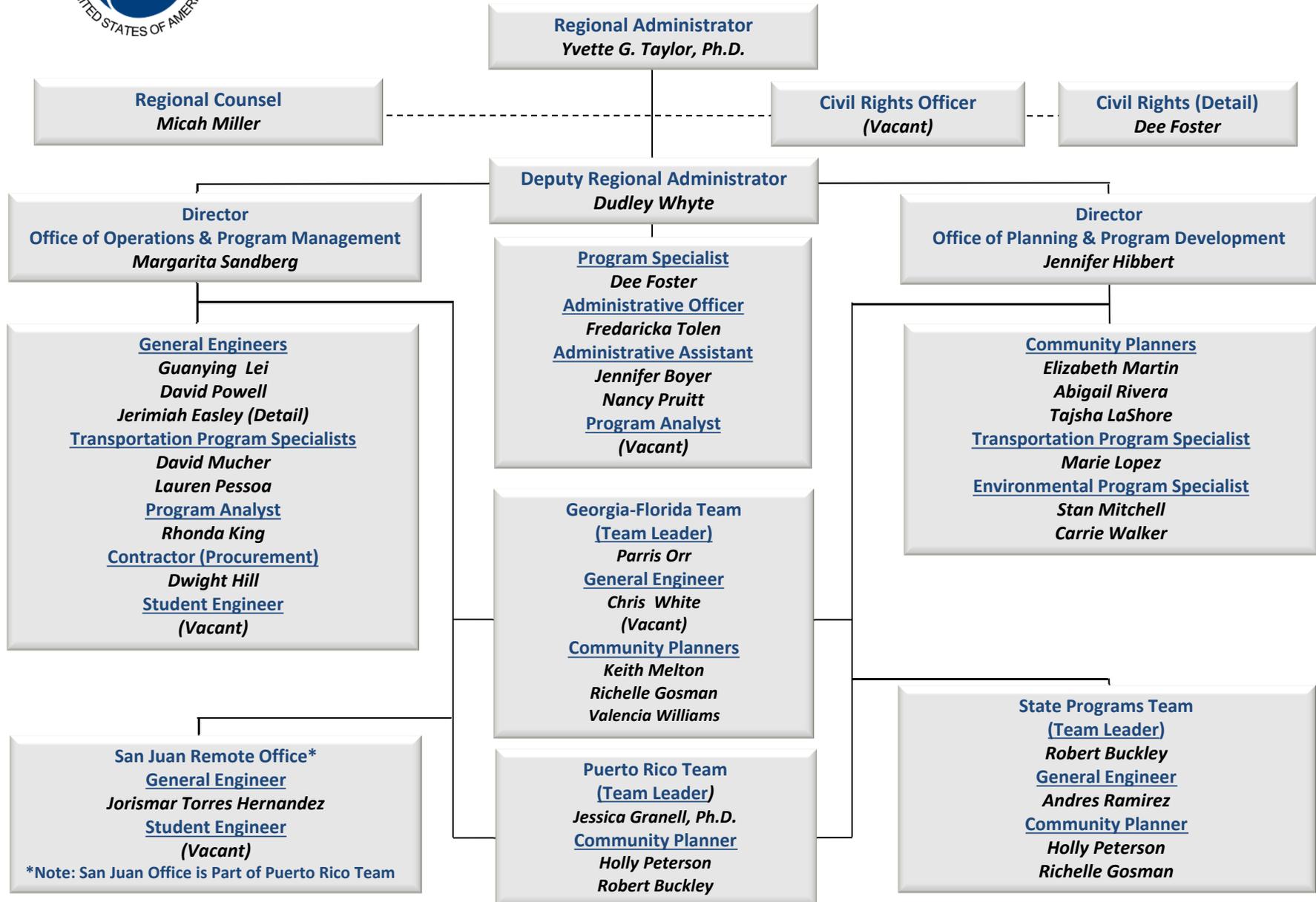
FEDERAL TRANSIT ADMINISTRATION

Agenda

- FTA Organizational Updates
- Funding and Accomplishments
- General Updates
- FAST Act
- Discretionary Programs
- FY 16 Grant Deadlines
- TrAMS
- NEPA



U.S. Department of Transportation Federal Transit Administration Region IV Organizational Chart



FTA Organizational Update

- New Hires
 - Maggie Sandberg (OOPM)
 - Roxanne Ledesma
 - Emmanuel Ramos
 - Jerimiah Easley (Detailee)
 - Joris Torres (PR)
 - Winnie Chung (Detailee)
- Departures
 - Micah Miller (6 month detail)
 - Carlos Gonzalez

SPT Contacts

- Richelle Gosman: MDOT
- Robert Buckley: PRHTA, NCDOT, GDOT, VIDPW
- Andres Ramirez: FDOT, ALDOT
- Holly Peterson: SCDOT, KYTC, TDOT

UZA Geographic Assignments

Alabama FTA Contacts:

- UZAs
 - Pre Award - Planning, NEPA, Grant Making
 - Abigail Rivera, Community Planner, abigail.rivera@dot.gov, 404.865.5624
 - Post Award - Grant and Project Management, Oversight
 - Emmanuel Ramos, General Engineer, emmanuel.ramos@dot.gov, 404.865.5627

UZA Geographic Assignments

Florida FTA Contacts:

– UZAs

- Georgia-Florida Team

- Planning/NEPA

- » Parris Orr, Community Planner, elizabeth.orr@dot.gov, 404.865.5617

- » Keith Melton, Community Planner, boyd.melton@dot.gov, 404.865.5614

- Grant Development & Management

- » Valencia Williams, Community Planner, valencia.williams@dot.gov, 404.865.5634

- » Richelle Gosman, Community Planner, richelle.gosman@dot.gov, 404.865.5478

- Engineering

- » Chris White, General Engineer, christopher.white@dot.gov, 404.865.5619

- » Jerimiah Easley, FAA Detailee, jerimiah.easley@dot.gov, 404-865-5608

UZA Geographic Assignments

Georgia FTA Contacts:

- UZAs
 - Georgia-Florida Team
 - Planning/NEPA
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 - Grant Development & Management
 - » Valencia Williams, Community Planner, valencia.williams@dot.gov, 404.865.5634
 - » Richelle Gosman, Community Planner, richelle.gosman@dot.gov, 404.865.5478
 - Engineering
 - » Chris White, General Engineer, christopher.white@dot.gov, 404.865.5619
 - » Jerimiah Easley, FAA Detailee, jerimiah.easley@dot.gov, 404-865-5608

UZA Geographic Assignments

Kentucky FTA Contacts:

– UZAs

- Pre Award - Planning, NEPA, Grant Making
 - Abigail Rivera, Community Planner, abigail.rivera@dot.gov, 404.865.5624
- Post Award - Grant and Project Management, Oversight
 - David Powell, General Engineer, david.powell@dot.gov, 404.865.5628

UZA Geographic Assignments

Mississippi FTA Contacts:

- UZAs
 - Pre Award - Planning, NEPA, Grant Making
 - Abigail Rivera, Community Planner, abigail.rivera@dot.gov, 404.865.5624
 - Post Award - Grant and Project Management, Oversight
 - David Mucher, General Engineer, david.mucher@dot.gov, 404.865.5623

UZA Geographic Assignments

North Carolina FTA Contacts:

– UZAs

- Pre Award - Planning, NEPA, Grant Making
 - Tajsha LaShore, Transportation Program Specialist, tajsha.lashore@dot.gov, 404.865.5606
- Post Award - Grant and Project Management, Oversight
 - Guanying Lei, General Engineer, guanying.lei@dot.gov, 404.865.5615

UZA Geographic Assignments

Puerto Rico FTA Contacts:

– UZAs

- Puerto Rico Team

- Cradle to Grave Responsibilities

- » Holly Peterson, Community Planner, holly.peterson@dot.gov, 404.865.5637
 - » Robert Buckley, Community Planner, robert.buckley@dot.gov, 404.865.5618
 - » Jessica Granell, General Engineer, jessica.granell@dot.gov, 404.865.5622
 - » Joris Torres, General Engineer, jorismar.Torres@dot.gov, 787-771-2537

UZA Geographic Assignments

South Carolina FTA Contacts:

– UZAs

- Pre Award - Planning, NEPA, Grant Making
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UZA Geographic Assignments

Tennessee FTA Contacts:

– UZAs

- Pre Award - Planning, NEPA, Grant Making
 - Elizabeth Martin, Community Planner, elizabeth.martin@dot.gov, 404.865.5609
- Post Award - Grant and Project Management, Oversight
 - David Powell, General Engineer, david.powell@dot.gov, 404.865.5628

Funding and Accomplishments

FY 2014 and 2015 Grant Awards

Region IV FY 2015 Grant Awards			Region IV FY 2014 Grant Awards		
State	# of Grants	Amount	State	# of Grants	Total Amount
Alabama	23	\$64,476,011	Alabama	22	\$54,185,247
Florida	120	\$483,008,286	Florida	108	\$506,420,042
Georgia	37	\$212,430,116	Georgia	35	\$212,805,190
Kentucky	26	\$67,302,465	Kentucky	19	\$54,741,209
Mississippi	12	\$29,443,576	Mississippi	13	\$39,737,860
North Carolina	69	\$245,725,150	North Carolina	67	\$300,364,138
Puerto Rico	25	\$64,655,596	Puerto Rico	29	\$102,611,267
South Carolina	32	\$36,274,163	South Carolina	26	\$40,135,348
Tennessee	62	\$87,745,521	Tennessee	47	\$95,555,197
Virgin Islands	-	\$0	Virgin Islands	2	\$4,215,737
TOTAL	406	\$1,291,060,884	TOTAL	370	\$1,411,766,021

Lapsing Funds- ALDOT

ALDOT	FY2013-5339	Bus Form – States/Territories	\$ 791,364
ALDOT	FY2014-5311	Non-Urbanized Area Formula	\$ 15,376,885
ALDOT	FY2014-5311	RTAP	\$ 264,491
ALDOT	FY2014-5311	Rural -Appalachian Dev	\$ 5,000,000
ALDOT	FY2014-5311	Tribal Formula	\$ 186
ALDOT	FY2014-5310	E&H MOB Seniors – DM	\$ 1,108,537
ALDOT	FY2014-5310	E&H MOB Seniors – DS	\$ 1,078,533
ALDOT Total			\$ 23,619,996

Lapsing Funds- GDOT

GDOT	FY2013-5339	Bus Form – States/Territories	\$ 1,247,500
GDOT	FY2013-5339	Bus Form – Formula Allocation	\$ 1,269,884
GDOT	FY2013-5303	MPO	\$ 809,537
GDOT	FY2013-5304	STATE	\$ 325,428
GDOT	FY2014-5329	State Safety Oversight	\$ 844,618
GDOT Total			\$ 4,496,967

Lapsing Funds- KYTC

KYTC	FY2014-5310	E&H MOB Seniors	\$ 387,976
KYTC Total			\$ 387,976

Lapsing Funds- MDOT

MDOT	FY2013-5339	Bus Form – Formula Allocation	\$ 162,131
MDOT Total			\$ 162,131

Lapsing Funds- NCDOT

NCDOT	FY2013-5339	Bus Form – States/Territories	\$ 1,247,500
NCDOT	FY2013-5339	Bus Form – Formula Allocation	\$ 1,132,495
NCDOT	FY2013-5303	MPO	\$ 411
NCDOT	FY2013-5304	STATE	\$ 48,568
NCDOT	FY2014-5311	Rural -Appalachian Dev	\$ 1,450,000
NCDOT	FY2014-5310	E&H MOB Seniors – DM	\$ 100,348
NCDOT	FY2014-5310	E&H MOB Seniors – DS	\$ 651,932
NCDOT Total			\$ 4,631,254

Lapsing Funds- PRHTA

PRHTA	FY2013-5339	Bus Form – States/Territories	\$ 499,000
PRHTA	FY2013-5339	Bus Form – Formula Allocation	\$ 1,101,049
PRHTA	FY2014-5311	Non-Urbanized Area Formula	\$ 289,698
PRHTA	FY2014-5310	E&H MOB Seniors – DM	\$ 1,948,728
PRHTA	FY2014-5310	E&H MOB Seniors – DS	\$ 268,058
PRHTA	FY2013-5329	Safety Oversight	\$ 302,275
PRHTA Total			\$ 4,408,808

Lapsing Funds- SCDOT

SCDOT	FY2014-5311	Rural -Appalachian Dev	\$ 200,000
SCDOT Total			\$ 200,000

Lapsing Funds- TDOT

TDOT	FY2013-5339	Bus Form – States/Territories	\$ 1,247,500
TDOT	FY2013-5339	Bus Form – Formula Allocation	\$ 926,096
TDOT	FY2014-5310	E&H MOB Seniors – DM	\$ 1,255,194
TDOT	FY2014-5310	E&H MOB Seniors – DS	\$ 1,861,991
TDOT	FY2013-5329	Safety Oversight	\$ 310,293
TDOT Total			\$ 5,601,074

General Updates

FAST Act

FAST Act

- Signed into law by President Obama on December 4, 2015
- Authorizes transit programs for 5 years (FY2016-FY 2020, through September 30, 2020),
- Provides steady and predictable funding, with an increase of ~\$1 Billion per year to the transit program

FTA's FAST Act Website: <http://www.fta.dot.gov/FAST.html>

FAST Act

New

- Bus and Bus Facilities Discretionary Grants (5339(b))
- Expedited Project Delivery for CIG Pilot Program (Subsection 3005(b) of FAST)
- Pilot Program for Innovative Coordinated Access & Mobility (Subsection 3006(b) of FAST)

Repealed

- Bicycle facilities (5319)
- Pilot Program for Expedited Project Delivery (Subsection 20008(b) of MAP-21)

Consolidated

- Public Transportation Innovation (Research & TCRP) (5312)
- Technical Assistance & Workforce Development (5314)

Modified

- Planning (5303/5304)
- Urbanized Area Formula (5307)
- Fixed Guideway Capital Investment Grants (5309)
- Elderly & Disabled (5310)
- Formula Grants for Rural Areas (5311)
- Public Transportation Safety Program (5329)
- State of Good Repair (5337)
- Bus and Bus Facilities (5339(a))

FAST Act

FY 2016 Apportionments

- FY 2016 Apportionments Published February 16
- All tables posted on FTA's website. Table 3A is posted, being revised.
- Federal Register Notice for Apportionments also includes interim guidance for programs with FAST Act changes.

FAST Act

FY 2016 Interim Guidance Major Changes

- “Old Money, New Rules”
 - All unobligated MAP-21 funds will follow the rules of the FAST Act
- Section 5307
 - Section 5307 Associated Transit Improvements
 - » 1% requirement no longer applicable under FAST
 - Section 5307 Large UZA Operating Assistance
 - » Special Rule for Operating Assistance in Large UZAs now includes operators of Demand Response service.
 - » Systems must still be reflected in Table 3A to be eligible.

FAST Act

FY 2016 Interim Guidance Major Changes

- Section 5339 and Section 5310 Direct Recipients
 - Applicants to FTA no longer limited to Designated Recipients.
 - Current FTA Direct Recipients selected for funding may apply directly to FTA.
- Section 5339(b) Discretionary Program
 - \$211M is available. NOFO out.
- Buy America Content Changes – covered tomorrow by legal folk

Discretionary Programs Update

TIGER 8

- \$500 Million
- Announced 23 February 2016. Applications due 29 April 2016
- Funding Announcement may be found here:
www.transportation.gov/TIGER

Discretionary Programs - FAST

Bus and Bus Facilities / LoNo

- \$266 M available
- Published 28 March 2016, Applications due by 13 May 2016
 - The funding consists of \$211 million in grants for buses and bus facilities projects
 - \$55 million specifically for FTA's Low and No Emission (Low-No) bus program
- Notice may be found here: <https://www.gpo.gov/fdsys/pkg/FR-2016-03-29/pdf/2016-07027.pdf>

Discretionary Programs - FAST

Public Transportation on Indian Reservations

- \$5 million available nationwide
- Announced on 05 March 2016; Applications due 13 May 2016
- Enhance public transit service for American Indians, Alaska Natives and other residents on rural tribal lands
- NOFO may be found here:
<https://www.federalregister.gov/articles/2016/03/14/2016-05579/fy-2016-competitive-funding-opportunity-public-transportation-on-indian-reservations-program-tribal>

Discretionary Programs - FAST

Rides to Wellness and Innovative Coordinated Access and Mobility Grants

- \$5.3 M available
- Announced on 29 March 2016; Applications due 31 May 2016
- Demonstration grants to find and test public transportation healthcare access solutions that support the following goals:
 - Increased Access to care
 - Improved Health outcomes
 - Reduced Healthcare costs
- NOFO: <https://www.gpo.gov/fdsys/pkg/FR-2016-03-29/pdf/2016-07008.pdf>

Discretionary Programs - FAST

Pilot Program for Transit Oriented Development

- Announced on 14 April 2016, Applications due on 13 June 2016.
- \$20.49 million of Fiscal Year (FY) 2014, FY 2015 and FY 2016 funds.
- The program augments FTA's Fixed Guideway Capital Investment Grants (CIG) Program by supporting comprehensive planning associated with new fixed guideway and core capacity improvement projects.
- NOFO : <https://www.gpo.gov/fdsys/pkg/FR-2016-04-14/pdf/2016-08538.pdf>

FY 2016 Important Grant Deadlines

FTA Region IV Important Grant Deadlines

Date	Action
30 May 2016	Region IV lapsing grant deadline for all draft grants to be <u>transmitted</u> in TrAMS and under FTA to review
★ 30 June 2016	Region IV deadline for submittal of ALL lapsing grants . <ul style="list-style-type: none"> • DOL can take up to 30-60 days. • Following DOL certification, discretionary grants will be sent for Congressional Release.
30 June 2016	Region IV non-lapsing grant deadline for all draft grants to be <u>transmitted</u> in TrAMS and under FTA to review.
★ 31 July 2016	Region IV grant deadline for submittal of ALL current year and non-lapsing completed grant applications.
20 Sept 2016	Anticipated TrAMS system closing.

FY 2016 Updates / Reminders

– Carryover and Lapsing Balances

- Carryover balances need to be reprogrammed in current STIP
- All previous years of unobligated funding can be combined within a single grant within the same formula program.
- Split Allocation Letters
- Transfer requests (to other programs or to direct recipients) need to be done in advance

TrAMS

Common Comments

- Full list of FTA's Common TrAMS Comments forthcoming
- Most popular so far are:
 - Application Executive Summary language
 1. Start/end date
 2. Indirect costs?
 3. Designated/Direct Recipient
 4. Research funds?
 - Make distinction between language in Application Executive Summary, Project Summary, and Extended Budget Descriptions
 - Include useful life of capital items >\$5,000 in EBD

TrAMS

TrAMS Amendments

- In general, NO amendments to TEAM grants will be permitted in TrAMS
 - Exceptions:
 - Small Starts/New Starts grants
 - Applications for FY2015 funding created to add the final 4/12ths of the Apportionment
- Caveat:* Funding may only be added to existing Scopes/ALIs

TrAMS

- The following missing Scopes/ALIs have been replaced in TrAMS:
 - Appalachian Development Scope (648-00)
 - Employee Training (11.7D.02)

TrAMS

Region 4 Policies - Supergrants

SUPER GRANTS

- Super Grants are permitted for major capital projects (i.e., New Starts/Small Starts)
- Region 4 plans to eventually allow Super Grant structure for formula funding. However, we are testing this format with a single application & will notify the remainder of our grantees when the option is open for all funding types.

TrAMS

Region 4 Policies on Projects

PROJECTS

- Please include all Scopes/ALIs within a single project in your TrAMS application unless there is a *compelling reason* to separate them
- “Compelling Reasons” include, but are not limited to:
 - ✓ Capital Investment Grant (New Starts/Small Starts/Core Capacity)
 - ✓ Facility Construction/Renovation
 - ✓ Other construction projects
 - ✓ Major Rolling Stock purchases
 - ✓ Preventive Maintenance (if recipient has had past issues)
 - ✓ To Distinguish the Federal Interest received and allocated as part of a disposition
 - ✓ Divide funding by large, major subrecipients (i.e., within State DOT grant applications)

TrAMS

TrAMS Training

- Region 4 is in the process of developing Grantee TrAMS Training
- 4 locations have been identified. Dates TBD
 - Nashville, TN
 - Orlando, FL
 - Auburn, AL
 - Charlotte, NC

NEPA Umbrella

- Native American Graves Protection and Repatriation Act
- Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970
- Americans with Disabilities Act
- Executive Order 12898 (Environmental Justice)
- Executive Order 13045 (Protection of Children)
- Section 4(f) of the DOT Act (49 USC 303)
- Clean Air Act
- Clean Water Act
- Safe Drinking Water Act
- Farmland Protection Policy Act
- Endangered Species Act of 1973
- Fish and Wildlife Coordination Act
- Comprehensive Environmental Response, Compensation, and Liability Act
- Resource Conservation and Recovery Act of 1976
- National Historic Preservation Act of 1966
- Archaeological and Historic Preservation Act
- Archaeological Resources Protection Act
- American Antiquities Act
- American Indian Religious Freedom Act
- Land and Water Conservation Fund Act
- Water Bank Act
- Executive Order 11988 (floodplains)
- Executive Order 11990 (wetlands)
- Executive Order 13514 (federal leadership in Environmental, Energy, and Economic Performance)
- Executive Order 13112 (Invasive Species)

NEPA is essentially three questions . . .

1. What is the **current** environment in the project area?
2. Will the project **significantly** impact the existing environment?
3. If there is a significant impact, can the impact be **mitigated**?

Region 4 NEPA Responsibilities

- FTA will ask the grantee for a **project description**
- FTA will determine the **class of action** (COA) based on the project description
- FTA will review **environmental documents** for technical and legal sufficiency
- FTA will initiate **consultation** to satisfy Section 106 of the National Historic Preservation Act
- FTA will be the Federal **decision maker** for NEPA

Grantee Responsibilities

- The Grantee will provide a **clear and accurate** project description to FTA
- The Grantee will **complete** the required NEPA documentation either in-house or through a contractor
- The Grantee will **provide** FTA with complete documents for review
- The Grantee will **notify** FTA of any changes to the proposed project

Who to Contact

Stan Mitchell, EIT, MBA

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Julia “Carrie” Walker, MHP

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FTA Administrator's Award for Rural Systems

- Nominate an outstanding local rural transit provider receiving assistance through the Section 5311. Nominations must address how transit systems create connections for riders, particularly in low-income communities, as well as veterans, seniors, youths, and disadvantaged populations.
- Send Nominations to FTA Region 4 Office by 15 May 2016.
- The awards will be presented at the 22nd National Rural Public and Intercity Bus Transportation conference in Asheville, N.C. on 2-5 October 2016.
- Award recipients will be expected to attend the conference, where they will be honored and will have an opportunity to share the secrets of their success with other conference attendees.

Contact Information

Valencia Williams

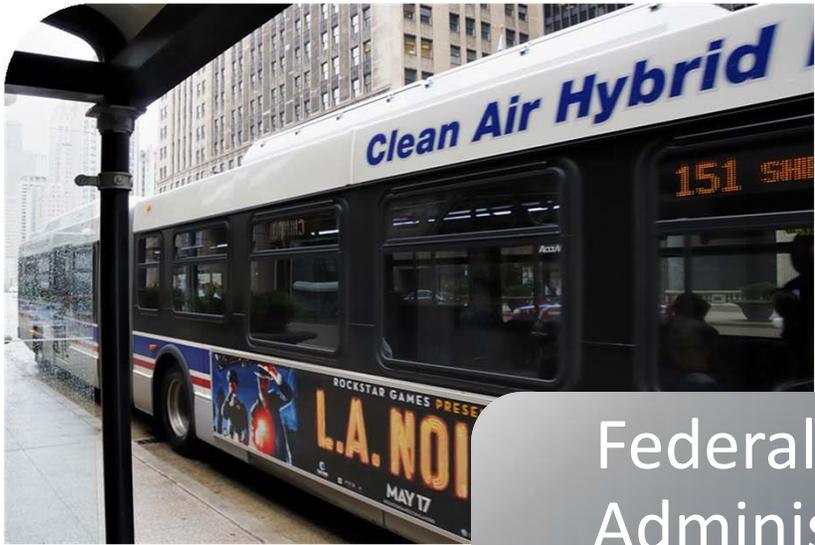
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Federal Transit
Administration
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Pre-Award Authority

- Pre-award authority allows recipients to incur certain project costs before grant approval and retain their eligibility for subsequent reimbursement after grant approval.
- Ensure potential new grantees understand Pre-Award Authority prior to using it

Pre-Award Authority

– Conditions:

- Pre-award authority is not a legal or implied commitment that the project(s) will be approved for FTA assistance or that FTA will obligate Federal funds.
- All FTA statutory, procedural, and contractual requirements must be met.
- All applicable DOT statutory and regulatory requirements must be met.
- The recipient must take no action that prejudices the legal and administrative findings that the Federal Transit Administrator must make in order to approve a project.