

Application

Federal Award Identification Number (FAIN)	1182-2016-14
Temporary Application Number	1182-2016-14
Application Name	Grant Template #1
Application Status	In-Progress
Application Budget Number	0

Part 1: Recipient Information

Name: GRANTEE

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1182	Transit Authority	GRANTEE	005532205

Location Type	Address	City	State	Zip
Headquarters	567 W LAKE ST STE GRANTEE	CHICAGO	IL	60661
Physical Address	567 W LAKE ST STE GRANTEE	CHICAGO	IL	60661
Mailing Address	567 W. LAKE	CHICAGO	IL	60661

Union Information

Union Name	AMALGAMATED TRANSIT UNION LOCAL
Address 1	
Address 2	Suite 850
City	Chicago
State	Illinois
Zipcode	60603
Contact Name	David Ross
Telephone	3123411234
Fax	3123411234
E-mail	fake@fake.com

Website	
Union Name	AMALGAMATED TRANSIT UNION LOCAL
Address 1	
Address 2	
City	Washington
State	District of Columbia
Zipcode	20016
Contact Name	John Westerberg
Telephone	2025371234
Fax	2022441234
E-mail	fake@fake.com
Website	
Union Name	AMALGAMATED TRANSIT UNION LOCAL
Address 1	
Address 2	
City	CHICAGO
State	Illinois
Zipcode	60606
Contact Name	Paul Mills
Telephone	3127821234
Fax	3127821234
E-mail	fake@fake.com
Website	
Union Name	INTERNATIONAL BROTHERHOOD OF TEAMSTERS
Address 1	
Address 2	
City	Washington DC
State	
Zipcode	20001
Contact Name	John Buck
Telephone	
Fax	2026241234
E-mail	fake@fake.com
Website	

Part 2: Application Information

Title: Grant Template #1

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
1182-2016-14	In-Progress	Grant	4/15/2016	4/15/2016	No

Application Executive Summary

This grant to the GRANTEE (GRANTEE) will utilize FY 2016 Section 5307 and 5339 funds for the purchase of two replacement 40ft buses, the rehabilitation of a bus garage, planning, capital cost of contracting, and operating assistance. The local match for these projects will come from Transportation Development Credits (TDC), City of Chicago tax, as well as the State of Illinois General Fund.

The federal funding includes \$1,250,000 in FY 2016 Section 5307 funding, and \$100,000 in FY 2016 Section 5339 funding.

Attachments to this application include the FTA/FHWA STIP approval letter, corresponding STIP pages that list the projects, the TDC letter from the State of Illinois, and the UPWP documentation that lists the Planning Projects.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Subject to Pre-Award Authority

Yes, this application is subject to Pre-Award Authority.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Angela	Johnson	CIP Analyst I	faces.ftafakeaccount1234@gmail.com	

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	5307-2A	20507	\$1,250,000
49 USC 5339 - (MAP 21) Bus and Bus Facilities Formula (FY2013 & Fwd)	5339-1	20526	\$100,000
Local			\$287,500
Local/In-Kind			\$0
State			\$100,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$25,000
Total Eligible Cost			\$1,737,500

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
1182-2016-14-P1	111-00 (111-) BUS - ROLLING STOCK	\$200,000.00	\$25,000.00	\$225,000.00	2
1182-2016-14-P1	11.12.01 BUY REPLACEMENT 40- FT BUS	\$100,000.00	\$25,000.00	\$125,000.00	1
1182-2016-14-P1	11.12.01 BUY REPLACEMENT 40- FT BUS	\$100,000.00	\$0.00	\$100,000.00	1
1182-2016-14-P2	113-00 (113-) BUS - STATION/STOPS/TERMINALS	\$500,000.00	\$125,000.00	\$625,000.00	1
1182-2016-14-P2	11.34.01 REHAB/RENOVATE - BUS TERMINAL	\$500,000.00	\$125,000.00	\$625,000.00	1
1182-2016-14-P2	117-00 (117-) OTHER CAPITAL ITEMS (BUS)	\$500,000.00	\$125,000.00	\$625,000.00	0
1182-2016-14-P2	11.71.12 CAPITAL COST OF 3RD PARTY CONTRACTING	\$500,000.00	\$125,000.00	\$625,000.00	0
1182-2016-14-P2	300-00 (300-) OPERATING ASSISTANCE	\$100,000.00	\$100,000.00	\$200,000.00	0
1182-2016-14-P2	30.09.01 UP TO 50% FEDERAL SHARE	\$100,000.00	\$100,000.00	\$200,000.00	0
1182-2016-14-P2	442-00 (442-) METROPOLITAN PLANNING	\$50,000.00	\$12,500.00	\$62,500.00	0
1182-2016-14-P2	44.24.00 SHORT RANGE TRANSIT PLANNING; SHORT RANGE TRANSIT PLANNING	\$50,000.00	\$12,500.00	\$62,500.00	0

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: Replacement Buses

Project Number	Temporary Project Number	Date Created	Start Date	End Date
1182-2016-14-P1	1182-2016-14-P1	4/15/2016	1/1/2016	5/1/2016

Project Description

The GRANTEE is utilizing FY 2016 Section 5307 in the amount of \$100,000 and 5339 in the amount of \$100,000 to purchase 2 replacement 40ft buses. The buses being purchased will replace buses currently in the fleet that have reached their useful life.

Project Benefits

Newer vehicles will provide for more reliable and efficient service to meet increased demand.

Additional Information

None provided.

Location Description

The vehicles will be used within GRANTEE's service area.

Security

No -- our organization will NOT expend at least 1% of the 5307 funds in this application for security-related projects

Project Location (Urbanized Areas)

UZA Code	Area Name
170030	Chicago, IL-IN

Congressional District Information

State	District	Representative
Illinois	1	Don Parsons

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	5307-2A	20507	\$100,000
49 USC 5339 - (MAP 21) Bus and Bus Facilities Formula (FY2013 & Fwd)	5339-1	20526	\$100,000
Local			\$25,000

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$25,000
Total Eligible Cost			\$225,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
1182-2016-14-P1	111-00 (111-) BUS - ROLLING STOCK	\$200,000.00	\$25,000.00	\$225,000.00	2
1182-2016-14-P1	11.12.01 BUY REPLACEMENT 40-FT BUS	\$100,000.00	\$25,000.00	\$125,000.00	1
1182-2016-14-P1	11.12.01 BUY REPLACEMENT 40-FT BUS	\$100,000.00	\$0.00	\$100,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.01 - BUY REPLACEMENT 40-FT BUS

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	BUY REPLACEMENTS - CAPITOL BUS	1

Extended Budget Description

For the purchase of a replacement bus. The bus will replace an existing vehicle that has reached its useful life.

The useful life of both the old and new bus is 10 years/150,000 miles.

The local match source is Illinois Transportation Development Credits (TDC) in the amount of \$25,000. Because TDCs do not reflect an actual monetary reimbursement, this activity line item reflects 100% Federal funding.

STIP ID# 10

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	New	

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	5307-2A	20507	\$100,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$25,000
Total Eligible Cost			\$100,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	1/1/2016	
Contract Award Date	2/1/2016	
Initial Delivery Date	3/1/2016	Delivery of one vehicle
Final Delivery Date	3/1/2016	
Contract Completion Date	5/1/2016	

Budget Activity Line Item: 11.12.01 - BUY REPLACEMENT 40-FT BUS

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	BUY REPLACEMENTS - CAPITOL BUS	1

Extended Budget Description

For the purchase of a replacement bus. The bus will replace an existing vehicle that has reached it's useful life.

The useful life of both the old and new bus is 10 years/150,000 miles.

STIP ID#10

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	New	

Funding Source	Section of	CFDA	Amount
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	Statute	Number	
49 USC 5339 - (MAP 21) Bus and Bus Facilities Formula (FY2013 & Fwd)	5339-1	20526	\$100,000
Local			\$25,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$125,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	1/1/2016	
Contract Award Date	2/1/2016	
Initial Delivery Date	3/1/2016	
Final Delivery Date	3/1/2016	
Contract Completion Date	5/1/2016	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Project Title: 5307 Activities

Project Number	Temporary Project Number	Date Created	Start Date	End Date
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1182-2016-14-P2

1182-2016-14-P2

4/15/2016

1/1/2016

12/31/2016

Project Description

This project will utilize FY 2016 Section 5307 funds in the amount of \$1,150,000 for the remainder of the Section 5307 activities, including updating the 5 Year Transit Plan, Operating Assistance, capital cost of contracting, and minor renovations (including replacement of flooring and lighting improvements) to the bus garage located at 100 1st Street in Chicago.

Project Benefits

Increased transit operation efficiency.

Additional Information

None provided.

Location Description

Within the GRANTEE service area

Security

No -- our organization will NOT expend at least 1% of the 5307 funds in this application for security-related projects

Project Location (Urbanized Areas)

UZA Code	Area Name
170030	Chicago, IL-IN

Congressional District Information

State	District	Representative
Illinois	1	Bobby L Rush

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	5307-2A	20507	\$1,150,000
Local			\$262,500
Local/In-Kind			\$0
State			\$100,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$1,512,500

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
1182-2016-14-P2	113-00 (113-) BUS - STATION/STOPS/TERMINALS	\$500,000.00	\$125,000.00	\$625,000.00	1
1182-2016-14-P2	11.34.01 REHAB/RENOVATE - BUS TERMINAL	\$500,000.00	\$125,000.00	\$625,000.00	1
1182-2016-14-P2	117-00 (117-) OTHER CAPITAL ITEMS (BUS)	\$500,000.00	\$125,000.00	\$625,000.00	0
1182-2016-14-P2	11.71.12 CAPITAL COST OF 3RD PARTY CONTRACTING	\$500,000.00	\$125,000.00	\$625,000.00	0
1182-2016-14-P2	300-00 (300-) OPERATING ASSISTANCE	\$100,000.00	\$100,000.00	\$200,000.00	0
1182-2016-14-P2	30.09.01 UP TO 50% FEDERAL SHARE	\$100,000.00	\$100,000.00	\$200,000.00	0
1182-2016-14-P2	442-00 (442-) METROPOLITAN PLANNING; METROPOLITAN PLANNING	\$50,000.00	\$12,500.00	\$62,500.00	0
1182-2016-14-P2	44.24.00 SHORT RANGE TRANSIT PLANNING	\$50,000.00	\$12,500.00	\$62,500.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 44.24.00; 44.24.00 - SHORT RANGE TRANSIT PLANNING; SHORT RANGE TRANSIT PLANNING

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
METROPOLITAN PLANNING (442-00)	44.24.00	SHORT RANGE TRANSIT PLANNING	METROPOLITAN PLANNING	0

Extended Budget Description

To update the 5 Year Transit Plan. Work that will be conducted includes ridership surveys, assessment of existing bus and rail service, and an origin/destination study to determine future transit needs.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	5307-2A	20507	\$50,000
Local			\$12,500
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$62,500

Milestone Name	Est. Completion Date	Description
Beginning of Transit Plan Update	1/1/2016	
Completion of Transit Plan Update	12/31/2016	

Budget Activity Line Item: 11.71.12 - CAPITAL COST OF 3RD PARTY CONTRACTING

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	3RD PARTY CONTRACTS	0

Extended Budget Description

The service is contracted to a provider using capital cost of contracting. The City owns the transit vehicles and engages a third party to operate and maintain the transit vehicles. Pursuant to circular 9030.1D, pages III-13 to III-15, one half of the total contract for the turnkey service (Type 6 contract) that includes contracted maintenance and leased service is eligible for federal capital cost of contracting funds at 80% of the resultant amount.

For the period January 1, 2016 - December 31, 2016 the GRANTEE is projecting a contract expense of \$1,250,000. Therefore the total eligible cost of contracting is one-half this amount or \$625,000. The allowable federal share is 80% of this or no more than \$500,000.

Capital cost of contracting covers the depreciation of the vehicles and equipment in addition to maintenance of facility leasing costs.

STIP ID# 1

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	5307-2A	20507	\$500,000
Local			\$125,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$625,000

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2016	
End Date	12/31/2016	

Budget Activity Line Item: 11.34.01 - REHAB/RENOVATE - BUS TERMINAL

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
BUS - STATION/STOPS/TERMINALS (113-00)	11.34.01	REHAB/RENOVATE - BUS TERMINAL	REHAB/RENOVATE BUS STATIONS	1

Extended Budget Description

For the renovation of the bus garage located at 100 1st Street in Chicago. Renovation work includes replacement of the garage floor, upgrades to the internal lighting, and replacement and relocation of internal walls to provide more space for the administrative offices and a bigger locker room for the bus drivers. There will not be any expansion of the bus garage footprint or changes to the building exterior.

Useful life of renovations: 15 years.

STIP ID# 20

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	5307-2A	20507	\$500,000
Local			\$125,000

Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Total Eligible Cost		\$625,000

Milestone Name	Est. Completion Date	Description
Contract Awarded	2/1/2016	Date of contract award to hire firm to perform the renovations.
Completion of Renovation Work	12/31/2016	All renovation work will be completed.
Begin of Renovation Work	3/1/2016	Date that the renovation work will begin.
Request for Proposals (RFP)	1/1/2016	

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Custom Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Operating assistance for the period of January 1, 2016 - December 31, 2016.

STIP ID#15

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5307 - (MAP 21) Urbanized Area Formula (FY2013 and forward)	5307-2A	20507	\$100,000
Local			\$0
Local/In-Kind			\$0
State			\$100,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$200,000

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2016	
End Date	12/31/2016	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$100,000.00	\$200,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS -	11.34.01	REHAB/RENOVATE	1	\$500,000.00	\$625,000.00

STATION/STOPS/TERMINALS
(113-00)

- BUS TERMINAL

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	0	\$500,000.00	\$625,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
METROPOLITAN PLANNING (442-00)	44.24.00	SHORT RANGE TRANSIT PLANNING	0	\$50,000.00	\$62,500.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

There are no review comments to display at this time.